



for Oracle ERP Cloud, Oracle E-Business Suite
and JD Edwards EnterpriseOne

QCloud Audit as a Service

With fraud on the rise and increasing pressure from auditors, it's important to keep your Segregation of Duties (SoD) controls in good shape.

But without specialized tools, it can be extremely difficult to assess the effectiveness of your controls.

Segregation of Duties reporting is a very complex and cumbersome task, which tends to be:

- Dependent on technical resources
- Long-winded, time-consuming and very tedious
- Prone to error and therefore unreliable
- Difficult to repeat frequently enough to keep your system clean.

QCloud Audit as a Service changes all that.

Now you can produce accurate SoD reports quickly and easily whenever you need them, with no strain on resources.

THIS AUDIT CAN HELP YOU:

Prepare for an external audit:

- Find SoD violations so you can fix them before the auditors arrive
- Reports and enquiries provide evidence and help you to answer specific questions.

Produce compliance reports:

- Identify violations that jeopardize compliance
- Reports provide evidence for compliance reporting, including historical comparison to demonstrate progress on improvements.

Prevent fraud:

- Identify risks in your system and drill down for the detailed information that you need to fix them
- Recommendations highlight the areas most vulnerable to risk.

Prioritize and cost justify remediation work:

- Focus your efforts where it matters most – the audit report includes recommendations for improvements.
- Mitigations help you to reduce false positives.
- The audit results provide clear evidence of the risks in your system to help you justify remediation work.
- Run further audits to test and demonstrate progress of remediation work.

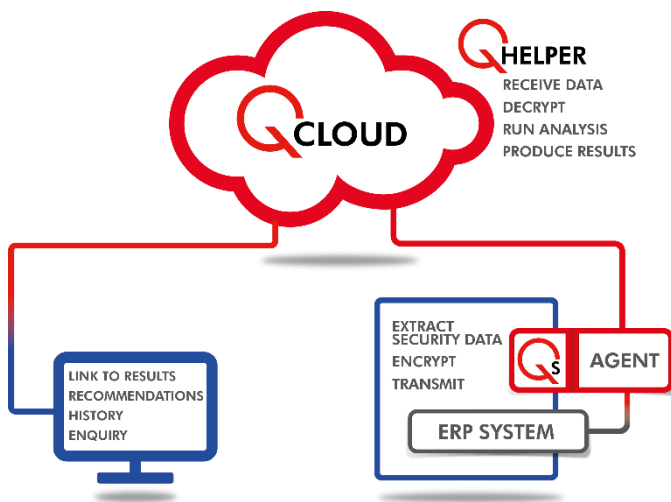


Conduct a
Segregation of Duties audit of your
Oracle ERP system
quickly and easily,
whenever you need it,
with no strain on resources.

BENEFITS:

- 📌 **Accurate information, delivered within hours**
- 📌 **Very easy: minimal in-house effort required** (about 30 mins technical time for set-up)
- 📌 **Reduce external audit fees and other costs**
- 📌 **Reduce compliance reporting costs**
- 📌 **Reduce the risk of internal fraud**
- 📌 **Identify risks that need urgent attention**
- 📌 **All stakeholders can access results from anywhere, at any time.**

To find out more or request a demo, email
sales@qsoftware.com or visit
www.qsoftware.com



THE ARCHITECTURE

The service uses a Cloud-based architecture consisting of 2 modules:

Q CLOUD

This module holds all the data and logic needed to analyze your system, as well as the reports produced. QCloud is hosted at AWS, a leading cloud provider, and at other local Cloud Service Providers.

Q AGENT

This module gathers the security data needed from your ERP system, encrypts it, then pushes it to QCloud. This includes information on security and access rights; no transactional data is uploaded.

When an audit is initiated, you will receive an email with instructions on how to configure Q Agent. This is the only part of the process that needs technical assistance and it takes around 30 minutes.

Q Agent will run on any supported ERP system, whether it is located on-premise, hosted, in Oracle Cloud or in a Hybrid Cloud environment.

SECURITY

Your data and results are fully secure and private:

- ☑ All data 'in-flight' and 'at-rest' in the Cloud is encrypted, and QCloud uses a Multi-Tenant architecture, so your information can never be seen by other tenants.
- ☑ The API keys supplied with Q Agent are only valid for the duration of the audit; new keys are issued for subsequent audits.

HOW IT WORKS

When you wish to run an audit, simply login to QCloud to submit your request. You'll receive an email with instructions on how to download and configure Q Agent, which collects and encrypts the necessary information, then pushes it to QCloud

The data is analyzed against our pre-seeded SoD Policies, based on common audit requirements, and developed in consultation with our clients and their internal and external audit partners. You can view these and switch individual Policies on and off, as well as edit them to suit your company's specific needs.

You'll receive an email to notify you when the audit report is ready, including links to access the audit results via QCloud. The results can be viewed by authorized users from anywhere, at any time.

WHAT DO THE RESULTS SHOW?

The results identify the SoD violations in your ERP system, helping you to make informed decisions about the status of your SoD controls and priorities for improvements, where relevant.

They also give you evidence for your auditors and detailed information to help you answer specific questions.

The main audit report presents a summary of the findings, identifying areas of vulnerability and making recommendations for improvements. This includes a list of the SoD Policies with reported violations.

Interactive views are also provided to enable you to drill into the details of specific violations. You can view violations by Policy/Rule or by User, and apply filters to search for specific violations.

Once you've had chance to view the results, we'll set up a review call with a Q Software consultant to discuss the findings and any queries that you may have.

If you've carried out QCloud audits before, you can also view previous audit reports and graphs comparing the results over time, highlighting trends and areas of improvement or degradation.

Depending on the terms of your QCloud agreement, you can run an audit as often as you wish.

MITIGATIONS: AVOID FALSE POSITIVES

If you have known SoD violations that are unavoidable, you can apply Mitigations, recording a reason for the exception. Subsequent audits will show these as Mitigations rather than false positive violations.



US Headquarters

5889 Greenwood Plaza Blvd, Suite 401
Greenwood Village, CO 80111
Tel: (720) 390 7970

UK & EMEA Headquarters

Connect House, Kingston Road
Leatherhead KT22 7LT United Kingdom
Tel: +44 (0)1372 700850



JD Edwards
EnterpriseOne



www.qsoftware.com